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Substitute for Form 1449/PTO		Complete if Known	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> (use as many sheets as necessary)		Application Number	09/599,813
		Filing Date	Jun 21, 2000
		First Named Inventor	Ardeleanu
		Group Art Unit	2178
		Examiner Name	HERNDON, HEATHER R.
Sheet 3 of 3	Attorney Docket Number	MS1-583US	

Technology Center 2100

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials <sup>1</sup>	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		NELSON, "Validation with MSXML and XML Schema," Windows Developer Magazine, Jan. 2002, pages 35-38.	
		CHIEN et al., "XML Document Versioning," SIGMOD Record, Vol. 30, No. 3, Sept. 2001, pages 46-53.	
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		SARA WILLIAMS and CHARLIE KINDEL, "The Component Object Model: A Technical Overview," October 1994, Microsoft Corp., pp. 1-14.	

Examiner Signature		Date Considered	12/9/2006
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<b>Examiner Name</b>	HERNDON,
<b>Attorney Docket Number</b>	MS1-583US

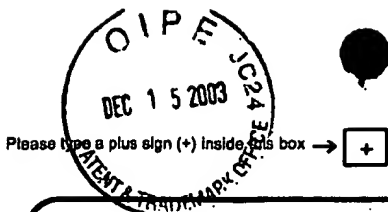
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		Examiner Name	HERNDON, HEATHER R.
		Attorney Docket Number	MS1-583US
Sheet	2	of	3

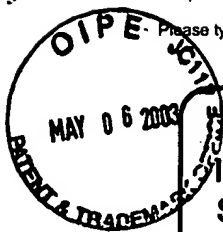
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		RICHARD SCOTT HALL, "Agent-based Software Configuration and Deployment," Thesis of University of Colorado, Online, 31 December 1999, retrieved from the Internet on 11/07/2003: <http://www.cs.colorado.edu/users/rickhall/documents/ThesisFinal.pdf>, 169 pages.
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		ROGGE et al., "Validating MPEG-21 Encapsulated Functional Metadata," IEEE 2002, pages 209-212.

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		STEVEN A. BATTLE, et al., "Flexible Information Presentation with XML", 1998, The Institution of Electrical Engineers, 6 pages.	
		PAOLO CIANCARINI, et al., "Managing Complex Documents Over the WWW: A Case Study for XML", IEEE Transactions on Knowledge and Data Engineering, Vol. 11, No. 4, July/August 1999, pages 629-638.	
		HIROTAKE KANEMOTO, et al., "An Efficiently Updatable Index Scheme for Structured Documents", 1998 IEEE, pages 991-996.	
		TOMMIE USDIN, et al., "XML: Not a Silver Bullet, But a Great Pipe Wrench", Standardview Vol. 6, No. 3, September 1998, pages 125-132.	
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		"Architecture for a Dynamic Information Area Control", IBM Technical Disclosure Bulletin, IBM Corp. New York, US, Vol. 37, No. 10, 1/10/1994, pp. 245-246.	
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		NETSCAPE COMMUNICATION CORPORATION: "Netscape Communicator 4.61 for OS/2 Warp" Software, 1999, The whole software release & "Netscape - Version 4.61 [en] - 010615" NETSCAPE SCREENSHOT, 2 October 2002 (2002-10-02)	
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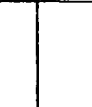







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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
( Not for submission under 37 CFR 1.99)

Application Number	09599813
Filing Date	2000-08-21
First Named Inventor	Adriana Ardeleanu
Art Unit	2176
Examiner Name	QUOC A TRAN
Attorney Docket Number	MS1-0583US

U.S. PATENTS						
Examiner Initial*	Cite No	Patent Number	Kind Code <sup>1</sup>	Issue Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear
	1	6035297		2000-03-07	Van Huben, ; et al.	
	2	6097382		2000-08-01	Rosen, ; et al.	
	3	6154128		2000-11-28	Wookey, ; et al.	
	4	6281896		2001-08-28	Alimpich, ; et al.	
	5	6366907		2002-04-02	Fanning, ; et al.	
	6	6369841		2002-04-09	Salomon, ; et al.	
	7	6779154		2004-08-17	Nussbaum, ; et al.	
	8	6816849		2004-11-09	Halt, Jr.	

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First Named Inventor	Adriana Ardeleanu	
Art Unit	2176	
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9	6845499		2005-01-18	Srivastava, ; et al.	
10	6876996		2005-04-05	Czajkowski, ; et al.	
11	6948133		2005-09-20	Haley	
12	6996781		2006-02-07	Myers, ; et al.	
13	7003722		2006-02-21	Rothchiller, ; et al.	

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**U.S. PATENT APPLICATION PUBLICATIONS**



Examiner Initial*	Cite No	Publication Number	Kind Code*	Publication Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear
	1	20010022592	A1	2001-09-20	Alimpich, Claudia; et al.	
	2	20020198935	A1	2002-12-26	Crandall, Steven Allen SR.; et al.	
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	4	20030046665	A1	2003-03-06	Jlin, Thomas	

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5	20030120686	A1	2003-06-26	Kim, Hong J.; et al.
6	20030167277	A1	2003-09-04	Hejlsberg, Anders; et al.
7	20030226111	A1	2003-12-04	Wirts, Steve; et al.
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14	20050223320	A1	2005-10-06	Brintzenhofe, Keith S.; et al.
15	20050240876	A1	2005-10-27	Myers, Kenneth L.; et al.

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	16	20060036995	A1	2006-02-16	Chickles; Justin; et al.	
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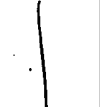
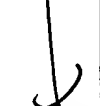
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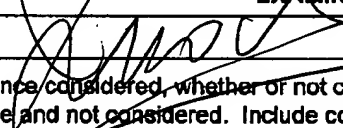
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	2	Raggett, "HTML Tables", retrieved on August 06, 2006, at << <a href="http://www://is-edu.hcmuns.edu.vn/WebLib/books/Web/Tel/html3-tables.html">http://www://is-edu.hcmuns.edu.vn/WebLib/books/Web/Tel/html3-tables.html</a> >>, W3C Internet Draft, July 07, 1995, pp 1-12	<input type="checkbox"/>
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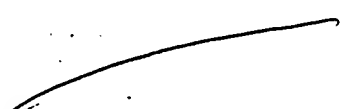
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	1	Cover, XML Forms Architecture, retrieved at << <a href="http://xml.coverpages.org/xf.html">http://xml.coverpages.org/xf.html</a> >> on 8/17/06, Coverpages, June 16, 1999	<input type="checkbox"/>
	2	Raggett, "HTML Tables", retrieved on August 06, 2006, at << <a href="http://is-edu.hcmuns.edu.vn/WebLib/books/Web/Tel/html3-tables.html">http://is-edu.hcmuns.edu.vn/WebLib/books/Web/Tel/html3-tables.html</a> >>, W3C Internet Draft, July 07, 1995, pp 1-12	<input type="checkbox"/>
	3	"Webopedia Computer Dictionary" retrieved on May 09, 2006, at << <a href="http://www.pewebopedia.com/TERM/O/OLE.html">http://www.pewebopedia.com/TERM/O/OLE.html</a> >>, Jupitermedia Corporation, 2006, pp 07	<input type="checkbox"/>

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U.S. Patent Documents						
Examiner Initials	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines; Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (if known)			
at		6,199,204		Donohue	03-06-2001	
		6,253,366		Mutschler, III	06-26-2001	
		6,327,628		Anuff et al.	12-04-2001	
		6,381,743		Mutschler, III	04-30-2002	
		5,940,075		Mutschler, III et al.	08-17-1999	
		6,151,624		Teare et al.	11-21-2000	
		6,353,926		Parthasarathy et al.	03-05-2002	
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		6,408,311		Baisley et al.	06-18-2002	
		6,446,110		Lecton et al.	09-03-2002	
		6,519,617		Wanderski et al.	02-11-2003	
		5,864,819		Da Armas et al.	01-26-1999	
		5,572,648		Bibayan	11-05-1996	
		6,297,819		Furst	10-02-2001	
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		6,091,417		Leftowitz	07-18-2000	
		6,396,488		Simmons et al.	05-28-2002	
		5,764,985		Smale	06-09-1998	
		6,421,656		Cheng et al.	07-16-2002	
		6,349,408		Smith	02-19-2002	

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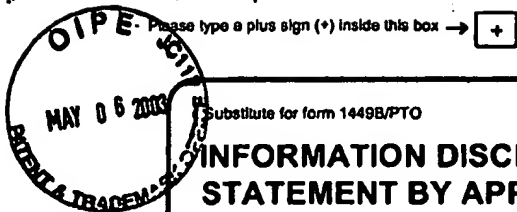
Examiner Signature	<i>[Signature]</i>	Date Considered	1/28/04
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Substitute for form 1449B/PTO

**INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet	3	of	3
Complete if Known			
Application Number		09/599,813	
Filing Date		Jun 21, 2000	
First Named Inventor		Ardeleanu	
Group Art Unit			
Examiner Name			
Attorney Docket Number		MS1-583US	

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	-	STEVEN A. BATTLE, et al., "Flexible Information Presentation with XML", 1998, The Institution of Electrical Engineers, 6 pages.	-
	-	PAOLO CIANCARINI, et al., "Managing Complex Documents Over the WWW: A Case Study for XML", IEEE Transactions on Knowledge and Data Engineering, Vol. 11, No. 4, July/August 1999, pages 629-638.	-
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	-	TOMMIE USDIN, et al., "XML: Not a Silver Bullet, But a Great Pipe Wrench", Standardview Vol. 6, No. 3, September 1998, pages 125-132.	-
	-	SUPOJ SUTANTHAVIBUL, et al., "XFIG Version 3.2 Patchlevel 2 (July 2, 1998) Users Manual (Edition 1.0)", INTERNET DOCUMENT, [Online] 2 July 1998, XP002229137 Retrieved from the Internet: <URL:http://www.lce.mtu.edu/online_docs/xfig332/> [retrieved on 2003-01-28]	-
	-	"Architecture for a Dynamic Information Area Control", IBM Technical Disclosure Bulletin, IBM Corp. New York, US, Vol. 37, No. 10, 1/10/1994, pp. 245-246.	-
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	-	NETSCAPE COMMUNICATION CORPORATION: "Netscape Communicator 4.61 for OS/2 Warp" Software, 1999, The whole software release & "Netscape - Version 4.61 [en] - 010615" NETSCAPE SCREENSHOT, 2 October 2002 (2002-10-02)	-
	-	"Netscape window" NETSCAPE SCREENSHOT, 2 October 2002 (2002-10-02)	-
	-	JAN-HENRICK HAUKELAND: "Tsbiff - tilde slash biff - - version 1.2.1" INTERNET DOCUMENT, [Online] June 1999, URL:http://web.archive.org/web/19990912001527/http://www.tildeslash.com/tsbiff/	-

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Examiner Signature		Date Considered	11/27/00
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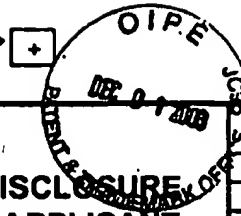
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<sup>1</sup> Unique citation designation number. <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

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Substitute for form 1448A/PTO

# **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

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Complete if Known

Sheet		of		Application Number	09/599,813
				Filing Date	Jun 21, 2000
				First Named Inventor	Ardeleanu
				Group Art Unit	2178
				Examiner Name	HERNDON, HEATHER R
				Attorney Docket Number	MS1-583US

U.S. PATENT DOCUMENTS						
Examiner Initials <sup>1</sup>	Cite No. <sup>2</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>3</sup> (if known)			
		6,052,710		Saliba et al.	04/18/2000	RECEIVED DEC 04 2003 Technology Center 2100
		6,611,822		Beams et al.	08/26/2003	
		6,347,323		Garber et al.	02/12/2002	
		6,308,179		Petersen et al.	10/23/2001	
		6,460,058		Koppolu et al.	10/01/2002	
		6,606,606		Starr	08/12/2003	
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		6,505,230		Mohan et al.	01/07/2003	
		6,357,038		Scouten	03/12/2002	
		6,054,987		Richardson	04/25/2000	
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		5,195,183		Miller et al.	03/16/1993	
		5,950,010		Hesse et al.	09/07/1999	
		5,721,824		Taylor	02/24/1998	
		6,615,276		Mastrianni et al.	09/02/2003	
		6,219,698		Iannucci et al.	04/17/2001	
		4,641,274		Swank	02/03/1987	

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Examiner Initials <sup>1</sup>	Cite No. <sup>2</sup>	Foreign Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>3</sup>
		Office <sup>3</sup>	Number <sup>4</sup>				
			0961197	EP	12/10/1998		

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<p><b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b></p> <p><i>(use as many sheets as necessary)</i></p>				Application Number	09/599,813
				Filing Date	Jun 21, 2000
				First Named Inventor	Ardeleanu
				Group Art Unit	2178
				Examiner Name	HERNDON, HEATHER R
				Attorney Docket Number	MS1-583US
Sheet	2	of	2		

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Substitute for form 1448B/PTO		<b>Complete if Known</b>			
		Application Number	09/599,813		
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)		Filing Date	Jun 21, 2000		
		First Named Inventor	Ardeleanu		
		Group Art Unit	2178		
		Examiner Name	HERNDON, HEATHER R.		
Sheet	2	of	3	Attorney Docket Number	MS1-583US

NON PATENT LITERATURE DOCUMENTS		
Examiner Initials	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.
	1	RICHARD SCOTT HALL, "Agent-based Software Configuration and Deployment," Thesis of University of Colorado, Online, 31 December 1999, retrieved from the Internet on 11/07/2003: <http://www.cs.colorado.edu/users/rickhall/documents/ThesisFinal.pdf>, 169 pages.
	2	ARTHUR VAN HOFF et al., "The Open Software Description Format," Online, 13 August 1997, retrieved from the Internet on 11/7/2003: <http://www.w3.org/TR/NOTE-OSD>, 11 pages.
	3	NETSCAPE COMMUNICATIONS CORP., "SmartUpdate Developer's Guide," Online, 11 March 1999, retrieved from the Internet on 12/08/2000: <http://developer.netscape.com:80/docs/manuals/communicator/jaman/index.htm>, 83 pages.
	4	LINNEA DAYTON and JACK DAVIS, "Photo Shop 5/5.5 WOW! Book," 2000, Peachpit Press, pp. 8-17.
	5	VARLAMIS et al., "Bridging XML-Schema and relational databases. A system for generating and manipulating relational databases using valid XML documents," DocEng '01, Nov. 9-10, 2001, Copyright 2001, ACM 1-58113-432-0/01/0011, pages 105-114.
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	9	CHEN et al., "Designing Valid XML Views," ER 2002, LNCS 2503, 2002, Springer-Verlag Berlin Heidelberg 2002, pages 463-477.
	10	CHEN et al., "XKvalidator: A Constraint Validator for XML," CIKM '02, Nov. 4-9, 2002, Copyright 2002, ACM 1-58113-492-4/02/0011, pages 446-452.
11	ROGGE et al., "Validating MPEG-21 Encapsulated Functional Metadata," IEEE 2002, pages 209-212.	

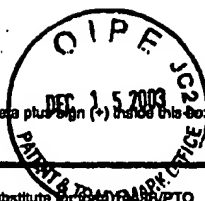
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<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)		Application Number	09/599,813
		Filing Date	Jun 21, 2000
		First Named Inventor	Ardeleanu
		Group Art Unit	2178
		Examiner Name	HERNDON, HEATHER R.
Sheet 3 of 3	Attorney Docket Number	MS1-583US	

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NON PATENT LITERATURE DOCUMENTS			
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		NELSON, "Validation with MSXML and XML Schema," Windows Developer Magazine, Jan. 2002, pages 35-38.	
		CHIEN et al., "XML Document Versioning," SIGMOD Record, Vol. 30, No. 3, Sept. 2001, pages 46-53.	
		WONG et al., "Managing and Querying Multi-Version XML Data with Update Logging," DocEng '02, Nov. 8-9, 2002, Copyright 2002, ACM 1-58113-594-7/02/0011, pages 74-81.	
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		SARA WILLIAMS and CHARLIE KINDEL, "The Component Object Model: A Technical Overview," October 1994, Microsoft Corp., pp. 1-14.	

Examiner Signature		Date Considered	11/27/2000
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<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> ( Not for submission under 37 CFR 1.99)	Application Number		09599813
	Filing Date		2000-08-21
	First Named Inventor	Adriana Ardeleanu	
	Art Unit	2176	
	Examiner Name	QUOC A TRAN	
	Attorney Docket Number	MS1-0583US	

U.S. PATENTS						
Examiner Initial*	Cite No	Patent Number	Kind Code†	Issue Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear
QT	1	6035297		2000-03-07	Van Huben, ; et al.	
	2	6097382		2000-08-01	Rosen, ; et al.	
	3	6154128		2000-11-28	Wookey, ; et al.	
	4	6281896		2001-08-28	Alimpich, ; et al.	
	5	6366907		2002-04-02	Fanning, ; et al.	
	6	6369841		2002-04-09	Salomon, ; et al.	
	7	6779154		2004-08-17	Nussbaum, ; et al.	
↓	8	6816849		2004-11-09	Halt, Jr.	

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
( Not for submission under 37 CFR 1.99)

Application Number		09599813
Filing Date		2000-06-21
First Named Inventor	Adriana Ardeleanu	
Art Unit	2176	
Examiner Name	QUOC A TRAN	
Attorney Docket Number	MS1-0583US	

QT	9	6845489		2005-01-18	Srivastava, ; et al.	
	10	6876996		2005-04-05	Czajkowski, ; et al.	
	11	6948133		2005-09-20	Haley	
	12	6996761		2006-02-07	Myers, ; et al.	
↓	13	7003722		2006-02-21	Rothchiller, ; et al.	

If you wish to add additional U.S. Patent citation information please click the Add button.

**U.S. PATENT APPLICATION PUBLICATIONS**

Examiner Initial*	Cite No	Publication Number	Kind Code <sup>1</sup>	Publication Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear
QT	1	20010022592	A1	2001-09-20	Altmich, Claudia; et al.	
	2	20020198935	A1	2002-12-26	Crandall, Steven Allen SR.; et al.	
	3	20030007000	A1	2003-01-09	Carlson, Gregory G.; et al.	
↓	4	20030046665	A1	2003-03-08	Jlin, Thomas	

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
( Not for submission under 37 CFR 1.99)

Application Number	09589813
Filing Date	2000-06-21
First Named Inventor	Adriana Ardeleanu
Art Unit	2176
Examiner Name	QUOC A TRAN
Attorney Docket Number	MS1-0583US

QT	5	20030120686	A1	2003-06-28	Kim, Hong J.; et al.	
	6	20030167277	A1	2003-09-04	Hejlsberg, Anders; et al.	
	7	20030228111	A1	2003-12-04	Wirts, Steve; et al.	
	8	20030228132	A1	2003-12-04	Tondreau, David; et al.	
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<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> ( Not for submission under 37 CFR 1.99)	Application Number		09599813	
	Filing Date		2000-08-21	
	First Named Inventor		Adriana Ardeleanu	
	Art Unit		2178	
	Examiner Name		QUOC A TRAN	
	Attorney Docket Number		MS1-0583US	

QT	16	20060036995	A1	2006-02-16	Chickles, Justin; et al.	
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QT	1	Cover, XML Forms Architecture, retrieved at << <a href="http://xml.coverpages.org/xfa.html">http://xml.coverpages.org/xfa.html</a> >> on 8/17/06, Coverpages, June 16, 1999	<input type="checkbox"/>
QT	2	Raggett, "HTML Tables", retrieved on August 06, 2006, at << <a href="http://rs-edu.humans.edu.vn/WebLib/books/Web/Tel/html3-tables.html">http://rs-edu.humans.edu.vn/WebLib/books/Web/Tel/html3-tables.html</a> >>, W3C Internet Draft, July 07, 1995, pp 1-12	<input type="checkbox"/>
QT	3	"Webopedia Computer Dictionary" retrieved on May 09, 2006, at << <a href="http://www.pewebopedia.com/TERMO/OLE.html">http://www.pewebopedia.com/TERMO/OLE.html</a> >>, Jupitermedia Corporation, 2006, pp 07	<input type="checkbox"/>

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